

# Signatory application form

First name \*

Last name \*

Role \*

Please enter your email: \*

## Introduction

Welcome to the PRI's signatory application process. We look forward to you joining us in our mission to achieve an economically efficient, sustainable global financial system for all.

Please read the following instructions carefully and note what information you will need to submit your application.

Organisations can become a signatory if they fall under one of these three categories:

Category	Typical criteria
<b>Asset owner</b>	<ul style="list-style-type: none"><li>• Long-term investment motivation <i>and</i></li><li>• institutional investor at the top of the investment chain, directly accountable to beneficiaries or the</li></ul>

Category	Typical criteria
	<p>government <i>and</i></p> <ul style="list-style-type: none"> <li>• investment discretion, including asset allocation, over all or most of its assets, (e.g. not subject to the direction of independent financial advisors (IFAs), or beneficiaries) <i>and</i></li> <li>• stable non-commercial governance arrangements or legislative frameworks (i.e. capital preservation and/or retirement provision is the primary motive, and they are ultimately answerable to pensioners or the government rather than shareholders).</li> </ul>
<b>Investment manager</b>	<ul style="list-style-type: none"> <li>• Make investment decisions according to guidelines stated in investment management agreements (IMAs) or fund formation documents <i>and</i></li> <li>• majority of assets subject to the direction of asset owners, IFAs or beneficiaries.</li> </ul>
<b>Service provider</b>	<ul style="list-style-type: none"> <li>• Provide investment advice to asset owners or investment managers, such as asset allocation and manager/product selection <i>or</i></li> <li>• deliver services such as proxy voting, data, etc.</li> </ul>

## Requirements

To complete the application, you will need the following:

- Signed (by your CEO or equivalent) declaration form\* confirming your organisation's commitment to the Principles, on your company's letterhead.
- Organisational chart demonstrating ownership structure and legal entities in your group.
- Relevant contact and billing information.
- AUM and asset class information (not applicable for service providers).

\*Please use the link below to download the relevant declaration form:

- [Asset owner declaration form](#)
- [Investment manager declaration form](#)
- [Service provider declaration form](#)

The application form can be saved for later. The average time to complete the application form is approximately 15 minutes.

The online application is best suited to be completed on desktop rather than handheld devices.

### **What happens next?**

Once your application is received, it will be reviewed by our operations team, who will contact you directly if there are any queries.

Unless there are clarifications outstanding, applications will be processed within six weeks, and once approved you will receive your invoice.

Your journey as a signatory to the PRI starts on receipt of payment, and we look forward to collaborating with you.

Should you have any questions, please contact us at [info@unpri.org](mailto:info@unpri.org)

Please choose your application type \*

- ☐ Asset owner application
- ☐ Investment manager application
- ☐ Service provider application

## **Organisational information**

\* = required fields

### **Overview**

Name (as you would like it displayed on the PRI website) \*

Head office street address \*

City \*

Province / state \*

Post / zip code \*

Country \*

If your main business operations are in a different location to your head office, please specify which country you would like to be listed under

Any other office locations

Does your organisation have a website? \*

☐ Yes

☐ No

Please provide website URL \*

Please provide a reason why the organisation does not have a website \*

## Billing address

Same as head office street address \*

☐ Yes

☐ No

Organisation's invoicing name \*

Invoicing street address \*

Invoicing city \*

Invoicing state / province \*

Invoicing post / zip code \*

Invoicing country \*

VAT / tax identification number \*

## Organisational information

\* = required fields

### Activities

Are you part of a larger organisation? \*

☐ Yes

☐ No

I confirm that my organisation is not a subsidiary or otherwise part of a larger organisation \*

☐ Yes

Submit organisation chart \*

If you are part of a larger organisation or a subsidiary, please submit an organisation chart, showing legal entities in the group structure, with your

application. [Choose File](#) No file chosen

Only one file may be submitted, adding a new file replaces previous.

Which of the following services do you offer? \*

- ☐ Bank
- ☐ Central bank
- ☐ Co-operative
- ☐ Corporate pension, superannuation, retirement or provident fund or plan
- ☐ Development finance institution
- ☐ Endowment
- ☐ Family office
- ☐ Foundation
- ☐ Government controlled fund
- ☐ Insurance company
- ☐ Non-corporate pension or superannuation or retirement or provident fund or plan
- ☐ Sovereign wealth fund
- ☐ Trade union
- ☐ Other

Other (please specify) \*

Pension Type \*

- ☐ Defined Benefit
- ☐ Defined Contribution
- ☐ Hybrid

Insurance Type \*

- ☐ Life
- ☐ Health
- ☐ Property and Casualty
- ☐ Reinsurance
- ☐ Other

Other (please specify) \*

Pension Type \*

- ☐ Defined Benefit
- ☐ Defined Contribution
- ☐ Hybrid

Please provide a brief description of your organisation and its primary activities \*

## Your assets

\* = required fields

What are your organisation's total assets under management (AUM) in US\$ billion, including the assets of all your consolidated subsidiaries?

The figure reported should represent total gross AUM, including uncalled commitments (e.g. in private equity or infrastructure) and policyholders' funds, off-balance sheet assets and their portion of joint venture (JV) assets (where relevant). The AUM figure should refer to the market value. Where market value is unavailable, we advise signatories to report the latest net realisable value estimate of those assets.

## Details

Please provide the amount in US\$ - applicants can check the exchange rate of their currency to US\$ on the [International Monetary Fund](#) website

US\$ billion

Please enter value as an integer or decimal placed number with dot as a decimal separator.

Date of AUM calculation

AUM not reported/Company in fundraising stage \*

☐ Yes

☐ No

Reason for not reporting your AUM

## Asset class

For definitions please see our [Reporting Framework Glossary](#)

### Listed equity

% Internally managed \*

Please select...



% Externally managed \*

Please select...



### Fixed income

% Internally managed \*

Please select...



% Externally managed \*

Please select...



### Private equity

% Internally managed \*

Please select...





% Externally managed \*

Please select...



## Real estate

% Internally managed \*

Please select...



% Externally managed \*

Please select...



## Infrastructure

% Internally managed \*

Please select...



% Externally managed \*

Please select...



## Hedge funds

% Internally managed \*

Please select...



% Externally managed \*

Please select...



## Forestry

% Internally managed \*

Please select...



% Externally managed \*

Please select...



## Farmland

% Internally managed \*

Please select...



% Externally managed \*

Please select...



## Other

% Internally managed \*

Please select...



% Externally managed \*

Please select...



## Off-balance sheet

% Internally managed \*

Please select...



% Externally managed \*

Please select...



Other (Please specify the asset class)

## Reasons for signing

\* = required fields

## Feedback

How did you hear about the PRI? \*

- ☐ Direct contact with a PRI representative
- ☐ From a PRI signatory
- ☐ From a current or potential client
- ☐ On social media
- ☐ In financial or pensions media
- ☐ At an event
- ☐ Other

Other (please specify) \*

What prompted you to join the PRI?

## Contact details

\* = required fields

### Main contact for PRI communications

This person will be sent all information relating to the PRI, apart from invoices (unless specified), and will be able to vote in the PRI's Board elections. Only one vote can be cast per signatory.

Title

Other (please specify)

First Name \*

Last Name \*

Role \*

Email \*

Phone \*

P.A. email

Address same as HQ? \*

☐ Yes

☐ No

Street address \*

City \*

Province / state \*

Post / zip code \*

Country \*

Indicate any additional role for this contact

☐ Invoicing

☐ Reporting

☐ CEO

☐ CIO

Indicate any additional role for this contact

☐ Invoicing

☐ Reporting

☐ CEO

## Invoicing contact

This person will be sent all signatory invoices.

Title

Please select...



Other (please specify)

First Name \*

Last Name \*

Role \*

Email \*

Phone \*

P.A. email

Address same as HQ? \*

☐ Yes

☐ No

Street address \*

City \*

Province / state \*

Post / zip code \*

Country \*

Indicate any additional role for this contact

☐ Reporting

☐ CEO

☐ CIO

Indicate any additional role for this contact

☐ Reporting

☐ CEO

## Reporting contact

This person will be sent all information relating to the PRI's Reporting and Assessment process, and will be the main administrator of the Permissions System. This will allow them to manage your organisation's access to the reporting platforms (Reporting Tool and Data Portal).

Title

Please select...



Other (please specify)

First Name \*

Last Name \*

Role \*

Email \*

Phone \*

P.A. email

Address same as HQ? \*

☐ Yes

☐ No

Street address \*

City \*

Province / state \*

Post / zip code \*

Country \*

Indicate any additional role for this contact

☐ CEO



☐ CIO

Indicate any additional role for this contact

☐ CEO

## CEO (or equivalent)

Title

Please select...



Other (please specify)

First Name \*

Last Name \*

Role \*

Email \*

Phone \*

P.A. email

Address same as HQ? \*

☐ Yes

☐ No

Street address \*

City \*

Province / state \*

Post / zip code \*

Country \*

Indicate any additional role for this contact

☐ CIO

## CIO (or equivalent)

Title

 ▼

Other (please specify)

First Name \*

Last Name \*

Role \*

Email \*

Phone \*

P.A. email

Address same as HQ? \*

☐ Yes

☐ No

Street address \*

City \*

Province / state \*

Post / zip code \*

Country \*

Trustee (will receive the same information as the primary contact, minus voting information)

Title

Other (please specify)

First Name

Last Name

Role

Email

Phone

P.A. email

Secondary contacts (will receive the same information as the primary contact, minus voting information)

Title

 

Other (please specify)

First Name

Last Name

Role

Email

Phone

P.A. email

Do they work specifically on ESG or RI issues?

☐ Yes

☐ No

Address same as HQ?

☐ Yes

☐ No

Street address \*

City \*

Province / state \*

Post / zip code \*

Country \*

All main and secondary contacts will receive the membership-related communications outlined in the PRI's [Privacy Policy](#) unless they opt-out. Users will be available to update their communication preferences when registering to the platforms.

## Declaration

Upload your organisation's approval of the Principles by submitting the declaration you downloaded at the beginning of your application, signed by your CEO (or equivalent), and using your company letterhead. If you are raising a fund/funds, please include your organisation's expected total assets

under management (AUM) in US\$ billion, including the assets of all your consolidated subsidiaries.

Upload your signed declaration here \*

Choose File No file chosen

Only one file may be submitted, adding a new file replaces previous.

The declaration templates are below.

- [Asset owner declaration form](#)
- [Investment manager declaration form](#)
- [Service provider declaration form](#)