

# PRI Digital Forum: Americas

November 17 – 18, 2020

(all times are in EST)

Tuesday November 17	
11:15-11:20 AM	<b>Opening remarks</b> <b>Fiona Reynolds</b> , CEO, PRI
11:20-12:10 PM	<b>Regional policy update: a discussion on shareholder engagement in the United States</b> <b>James Andrus</b> , Investment Manager, CalPERS <b>Corey Klemmer</b> , Director of Engagement, Domini Impact Investment <b>Peter Reali</b> , Sr. Director, Responsible Investing, Nuveen <b>Heather Slavkin Corzo</b> , Head of US Policy, PRI
12:40-1:10 PM	<b>Driving meaningful data: from challenges to solutions</b>  This session will focus on ESG data challenges, solutions that are gathering pace internationally, and how these will influence developments in North America.  <b>Catherine Banat</b> , Director, Responsible Investing, RBC Global Asset Management <b>Aaron Bennett</b> , Managing Director, Sustainable Investment Strategy and Research, Jarislowsky Fraser <b>Samantha Ross</b> , Founder, AssuranceMark—The Investors’ Consortium for Assurance <b>Morgan Slebos</b> , Director of Sustainable Markets, PRI
1:20-2:20 PM	<b>Breakout 1A. The Sustainable Development Goals: turning good intentions into good practice</b>  2020 has marked the start of the so-called ‘Decade of Delivery’ for the Sustainable Development Goals (SDGs). Investor interest in and use of the SDGs has increased rapidly in recent years, but at the same time investor approaches to the goals have often lacked consistency and depth, and the potential that private capital has to deliver positive real-world outcomes has yet to be achieved. This session will focus on the practical steps that investors can take to contribute to SDG outcomes through their individual and collective actions, and showcase examples of how those steps have been put into action.  <b>Alexandre Gazzotti</b> , ESG Analyst, Itaú Asset Management <b>James Magor</b> , Director, Responsible Investment, Actis <b>Kaori Shigiya</b> , Senior Specialist, SDGs, PRI
1:20-2:20 PM	<b>Breakout 1B. Human rights: the hidden challenge for private equity investors</b>

	<p>Faced with complex supply chains, portfolio company operations and intersecting social issues only exacerbated by the COVID-19 pandemic, private equity investors can become unwitting contributors to human rights violations. To address these challenges, this session will focus on identifying and remedying human rights violations in private equity portfolios.</p> <p><b>Kate Larsen</b>, Founding Director, SupplyESChange  <b>Shami Nissan</b>, Head of Responsible Investment, Actis  <b>Dawn Powell</b>, Senior Associate, StepStone Group  <b>Peter Dunbar</b>, Senior Specialist, Private Equity, PRI</p>
<p>1:20-2:20 PM</p>	<p><b>Breakout 1C. Effectively incorporating ESG into the selection, appointment and monitoring of asset managers</b></p> <p>For asset owners who have introduced a policy on responsible investment, selecting, appointing and monitoring an investment manager is a key strategic decision. The process requires thorough due diligence, screening, and agreement on contractual documentation – and responsible investment needs to be part of that process. In this session, we will explore the techniques employed by asset owners across the Americas, and the latest guidance from the PRI.</p> <p><b>Sarah Bernstein</b>, Principal, Consultant, Meketa Investment Group, Inc.  <b>Alison Loat</b>, Managing Director, Sustainable Investing &amp; Innovation, OPTrust  <b>Gabriela Luna</b>, Deputy Director of ESG Analysis Administration, Afore XXI Banorte  <b>Dave Zellner</b>, Chief Investment Officer, Wespath</p>
<p>2:20-3:00 PM</p>	<p><b>Update on the PRI Reporting Framework</b></p> <p>After an extensive review of PRI reporting and assessment, the new framework has launched in October 2020. In this session we will explain the reporting redevelopment journey and what the new framework looks like, including the approach we have taken and what signatories can expect.</p> <p><b>Brian Minns</b>, Vice President, Sustainable Investing, Addenda Capital  <b>Elina Rolfe</b>, Director of Reporting &amp; Assessment, PRI  <b>Graeme Griffiths</b>, Chief Operating Officer, PRI</p>

Wednesday November 18	
11:00–11:25 AM	<p><b>Keynote address: human rights</b></p> <p><b>John Ruggie</b>, Berthold Beitz Research Professor in Human Rights and International Affairs, Harvard Kennedy School</p>
11:40-12:20 PM	<p><b>Diversity, equity and inclusion: the myth of meritocracy</b></p> <p>One of the key obstacles for better diversity is existing social biases, which make it very difficult to achieve equity for all and an inclusive corporate culture that recognises diversity in the workplace and in society as a whole.</p> <p>In this session, we will address the myth of meritocracy in hiring and promotion practices. We will challenge the assumption that the base case is fair, and that hard work determines hiring and promotion opportunities, irrespective of gender, race or any other characteristic. We will discuss evidence of biases present in hiring and promotion practices in businesses in general, and how investors are addressing these issues in their own organizations, as well as their portfolios.</p> <p><b>Essma Bengabsia</b>, Senior Associate, Sustainable and Impact Investing, Glenmede  <b>Craig Slack</b>, Deputy Treasurer, Chief Investment Officer, Office of the Chicago City Treasurer  <b>Camilla Sutton</b>, CEO, Women in Capital Markets  <b>Elena Espinoza</b>, Head of Social Issues, PRI</p>
12:35-1:15 PM	<p><b>A Legal Framework for Impact</b></p> <p>The session will introduce the project ‘A Legal Framework for Impact’, covering legal and regulatory incentives, opportunities and challenges for investors to invest for sustainability impact.</p> <p><b>Nalini Feuilloley</b>, Director, Responsible Investment, BMO  <b>Susan Mac Cormac</b>, Partner, Morrison &amp; Foerster  <b>Tim Wilkins</b>, Partner, Freshfields  <b>Heather Slavkin Corzo</b>, Head of US Policy, PRI</p>
1:15-2:15 PM	<p><b>Breakout 2A. Investor action on deforestation: lessons from Brazil</b></p> <p>Against the backdrop of the COVID-19 pandemic, the deforestation crisis in the Amazon has continued to increase, with the damage caused by the 2020 fires season on course to break the already devastating record set in 2019.</p> <p>One year since 250 institutional investors signed a statement on the Amazon fires, this session will discuss what has changed, where progress is needed, and the actions being taken from an investor, policy and company perspective to mitigate deforestation risk in agricultural supply chains.</p> <p><b>Daniela da Costa-Bulthuis</b>, Director, Emerging Markets Equities, Robeco</p>

	<p><b>André Guimarães</b>, Executive Director, Environmental Research Institute of the Amazon</p> <p><b>Emine Isciel</b>, Head of Climate and Environment, Storebrand</p> <p><b>Tom Barron</b>, Manager, Stewardship, PRI</p>
1:15-2:15 PM	<p><b>Breakout 2B. Strategic asset allocation: the new frontier for responsible investment</b></p> <p>The PRI has recently published a series of case studies that provide some real life examples of Strategic Asset Allocation (SAA) and ESG in practice. How ESG themes such as changing technology, governance, demography and climate themes are incorporated into SAA is a key challenge – but a challenge with significant opportunities. This session will review some examples of real practice and review the three areas highlighted in a recent PRI discussion paper: incorporating real world impact, improving risk adjusted returns and education. Through SAA, allocating capital towards financing real-world outcomes can make critical contributions to the SDGs and the Paris Agreement.</p> <p><b>Christian Goldsmith</b>, Lead Portfolio Specialist, Global Balanced Risk Control, Morgan Stanley</p> <p><b>Dave McGowan</b>, Director of Strategic Asset Allocation, BCI</p> <p><b>Bob Smith</b>, President &amp; CIO, Sage Advisory</p> <p><b>Andria Weil</b>, Managing Director and Sustainable Investment Policy Manager, Wellington Management Company</p> <p><b>Toby Belsom</b>, Director of Investment Practices, PRI</p>
1:15-2:15 PM	<p><b>Breakout 2C. Active Ownership 2.0</b></p> <p>This session will focus on stewardship, Active Ownership 2.0, universal ownership and systemic risks. The panel will seek to provide detail on why investors should align with Active Ownership 2.0, in particular by making the case for a more systemic focus on the part of asset owners and managers.</p> <p><b>Rick Alexander</b>, Founder, The Shareholder Commons</p> <p><b>Stephen Miles</b>, Head of Equities, Willis Towers Watson</p> <p><b>Dr Ellen Quigley</b>, Advisor to the Chief Financial Officer (Responsible Investment), University of Cambridge</p> <p><b>Paul Chandler</b>, Director of Stewardship, PRI</p>
2:20-3:20 PM	<p><b>The Inevitable Policy Response to climate change</b></p> <p>The COVID-19 pandemic has demonstrated the capacity of governments worldwide to take drastic action in the face of a crisis. As the realities of climate change become increasingly apparent, it is inevitable that governments will be forced to act more decisively on this issue too. This session will update investors on the Inevitable Policy Response forecast, and explain how climate scenarios can be used in both risk analysis and engagement with companies.</p> <p><b>Jason Eis</b>, Executive Director, Vivid Economics</p> <p><b>Kate Gordon</b>, Director, Governor's Office of Planning and Research, State of California</p>

	<p><b>Mary-Catherine Lader</b>, Head of Aladdin Sustainability, BlackRock  <b>Bertrand Millot</b>, Vice-President, Risk Management, Fixed Income and Head of Climate Risk and Issues, Caisse de dépôt et placement du Québec  <b>Sagarika Chatterjee</b>, Director of Climate Change, PRI</p>
3:20-3:40 PM	<p><b>Closing Keynote</b></p> <p><b>Rafael del Villar Alrich</b>, Chief Advisor to the Governor, Banco de México</p>
3:40-3:45 PM	<p><b>Closing remarks</b></p> <p><b>Chris Fowle</b>, Director of the Americas, PRI</p>