

METHODOLOGY

GLOBAL GUIDE FOR RESPONSIBLE INVESTMENT REGULATION - DATABASE

PRI's regulation database covers responsible investment-related legislation and soft law initiatives across the world. It groups measures into the following typologies:

EACH ENTRY IN THE DATABASE INCLUDES:

- the status – 'Issued' or 'In Progress'
- the year of implementation;
- the country¹
- the authority responsible²; and
- whether the measure is voluntary or mandatory;

There is also commentary on the key clauses relating to ESG factors and investment.

DATA IS GROUPED BY:

The type of regulation:³

- Corporate ESG disclosure
- Investor ESG disclosure
- Investor ESG integration
- Stewardship code
- Taxonomy
- Sector Specific policy
- Financial products (green bonds, green labels etc.)

¹ Note, EU regulations are listed under 'European Union' for this category.

² Note, EU regulations and directives are listed under 'European Union' for this category. EU regulations are listed once whereas directives are listed for each Member State. This accounts for the fact that while EU regulation immediately takes effect in all Member States, EU directives are transposed into national laws and thus create 27 different capital-market-specific laws.

³ Principles for Responsible Investment Policy toolkit (December 2020) available at: <https://www.unpri.org/policy/regulation-database/policy-and-regulation-toolkit>.

- National Sustainable Finance Strategy
- Other

Who the regulation is applicable to:

- Non-corporate Pension funds (or equivalent)
- Corporate Pension funds (or equivalent)
- Insurance Companies
- Other Asset Owners
- Investment Managers
- Financial Service providers
- Corporations
- Credit Rating Agencies
- Other (Exchanges etc.)

The content of this database is continuously updated. You can report corrections or additions to policy@unpri.org or request a copy of the database by contacting academic@unpri.org.