

# CANDIDATE STATEMENT, BIOGRAPHY, SIGNATORY, AND COMPARATIVE INFORMATION FORM

Full legal name: Cláudio Antônio Gonçalves

Job title: Chief Investment Officer

Signatory organisation name: Previ – Caixa de Previdência dos Funcionários do Banco do Brasil

Signatory organisation(s) seconding your candidacy: Petros - Fundação Petrobras de Seguridade Social



# **CANDIDATE STATEMENT** (400 words maximum)

My name is Cláudio Goncalves and it's an honor to be a candidate for the Asset Owner position in the PRI Board elections.

As CIO of Previ, I am proud to represent an institution that was PRI's first Latin American signatory, participating in its launch on the New York Stock Exchange back in April 2006. Previ had representatives in the PRI Board during many years, is also a member in the Latin American Council of Carbon Disclosure Project (CDP) and supports the United Nations Global Compact.

Previ has long been a leader in sustainable finance in Latin America, and I believe our voice can contribute meaningfully to global discussions on responsible investment, ESG integration, stewardship, and long-term value creation. I am enthusiastic about advancing responsible investment practices not only within Previ but also across the broader Brazilian financial ecosystem.

I am confident that my knowledge in responsible investment, experience in investment management, dedication, and collaborative approach will help strengthen the PRI's mission and amplify the impact of responsible investment in emerging markets while also learning from global peers. I will be able to carry out a leadership role and support other PRI signatories, with a focus on Emerging Market, thus adding value to the development of responsible investment's future in its ESG integration challenge.



## **BIOGRAPHY** (300 words maximum)

Cláudio Gonçalves holds a degree in Law from UniEvangelica, postgraduate degree in Public Law from the University of Rio Verde and has also earned an MBA in Financial Business from the Federal University of Rio de Janeiro (UFRJ). He has experience in wealth and investment management, with solid expertise in the area of investments for the private banking (onshore and offshore), retail banking and closed pension markets, having worked in the business and strategic management of various business segments – individuals, rural producers and private clients in Brazil and the United States of America.

Throughout his career, he has served as executive manager of Banco do Brasil Inc. (Brasília/DF), having been responsible for implementing the Funding and Investments Unit, a strategic unit responsible for the journey of Banco do Brasil's investor clients in the retail segment (2017 – 2020); general manager at Banco do Brasil (Miami/USA), responsible for the relationship with account holders of the BB Miami branch, which covers the private banking segments and expatriate civil servants of the federal government (2020 – 2023); since 2023, he serves as Chief Investment Officer at Banco do Brasil Employees' Pension Fund – PREVI, being responsible for asset allocation and management of PREVI's investments; and in 2024 he was elected as a member of the Board of Directors of the Guarulhos International Airport Concessionaire (GRU), and also the public companies Gerdau and VIBRA Energia in Brazil.



#### **SIGNATORY ORGANISATION INFORMATION** (300 words maximum)

The Banco do Brasil Employees' Pension Fund (Previ) is a closed pension entity and its members are employees of Banco do Brasil, Previ and their families.

Previ's resources, essentially from personal and employer contributions, are invested in accordance with an Investment Policy reviewed annually to comply with the fiduciary duty to pay benefits.

Previ defined as a statement of purpose "to take care of people's future" and it translates to a commitment to go beyond paying benefits in the long term. Previ aims to provide solutions that offer protection to members and their families, in a comprehensive, safe, and sustainable manner. To fulfil this commitment, Previ firmly believes responsible investment is not only the best way but the only way.

Previ's relationship with all economic and social agents, considering its legitimate purposes, must uphold integrity and transparency in its business and operational areas. In this regard, Previ's investments and activities are guided by ESG principles, aiming to foster sustainability in business conduct and the integration of all market agents in the pursuit of a fairer and more sustainable society. Consequently, Previ has added an 'I' for Integrity to its ESG approach

Sustainability, Responsible Investment and Integrity are definitions that complement each other in Previ's governance. In over 120 years of history, the entity has never failed to pay benefits, nor has it had to collect extraordinary contributions from its members. This work is based on strengthened governance, with rules, processes and internal controls not limited to compliance, but going beyond the requirements of legislation and the regulator.

Sustainable Investment is deeply integrated with our Purpose and Mission, forming an essential part of our strategy to ensure long-term retirement benefits for our members.



# COMPARATIVE CANDIDATE INFORMATION

As part of the commitment to strengthen the rigour and accountability of the election process, the PRI is providing guidance and information to candidates and signatories in advance of their vote.

The Board should have the appropriate balance of skills, diversity, experience, independence, and knowledge of the organisation to enable it to discharge its duties and responsibilities effectively. This necessary diversity encompasses a sufficient mix of relevant skills, competence, and diversity of perspectives. It may include but is not limited to: geographical diversity of signatory representation to bring regional knowledge and perspectives to the board; diversity of geographical origin, ethnicity, language and culture, and also gender diversity.

The Board needs to be appropriately representative of the diversity of the PRI signatories in order to generate effective debate and discussion around the key issues that the board considers, and to deliver the broadly founded leadership that the initiative requires. The PRI is a global organisation, and aims for global representation on its board, particularly within the asset owner positions.

### The PRI Board is encouraging:

- candidates with governance skills and demonstrated leadership in responsible investment;
- global representation and expertise to enable the Board to appropriately represent the diverse signatory base.

Candidates are asked to elaborate leadership and governance experience, and demonstrated leadership in responsible investment, in their candidate statement. This information as well as information on the nominating signatory, the candidate biography, statement, and candidate video will enable the signatory electorate to easily compare the skills, experience, and diversity of the respective candidates.



# **SPECIFIC EXPERTISE**

# **LEADERSHIP AND GOVERNANCE EXPERIENCE** (300 words maximum)

Cláudio Goncalves has a large experience in governance and is a supporter of responsible investment at Previ. In addition to the long period managing several investment sectors in the Banco do Brasil and as Previ's Director, he was also member of the board of different companies. Currently, Cláudio is the member of Vibra's Board and Human Capital Committee.



# **GENERAL**

# DEMONSTRATED LEADERSHIP WITHIN RESPONSIBLE INVESTMENT, ESG EXPERTISE AND OTHER EXPERIENCE RELEVANT TO THE LONG-TERM SUCCESS OF THE PRI (300 words maximum)

As CIO at Previ, Cláudio Goncalves is responsible for the asset management of the portfolio. This position enables him to be a protagonist in driving ESG issues on Previ's investment processes.

Claudio was a speaker at several seminars and conferences. These include interviews on adherence to ESG practices, with the objective of promoting a sustainable business environment.

#### **EXECUTIVE EMPLOYEE INFORMATION**

If an applicant is an executive employee of a signatory in a role where his or her immediate line manager is a CEO, CIO or most senior investment professional, they must provide a brief job description (100 words maximum) and indicate the number of years employed in an executive position.

Job description:
Number of years employed in an executive position:
Please tick if you accept the PRI's privacy policy.

Please send this completed candidate information form to <u>info@unpri.org</u> in word format with a photo separately attached. Please do not exceed the recommended word limit for each section of the form. The PRI will publicise this candidate information form on its website, and it will also be attached in the voting ballot. This will allow signatory electorate to easily compare the skills, experience, and diversity of the respective candidates.

